# EEO Counselor – Complaints Processing Index of Attachments

Attachments 1-13 contain the notices and memorandums required for conducting EEO counseling. They include the notices to be signed by the counselor and the aggrieved party.

Earlier editions are obsolete and should not be used.

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EEO Counselor	
	 Date
SUBJECT: The Pre-Complaint Process	
TO:	
Name of Aggrieved Pers	son
On this date we discussed matters giving rise to opportunity complaint. At this time you state you process which leads to filing an EEO Complaint.	prefer not to enter into the pre-complaint
This is to let you know that you have 45 calenda To determine the end of this 45-day period, the occurred. The last day must fall on a working datalked about occurred onyou have to file a complaint is	first day is counted the day after the event ay; not weekend or holiday. The last event we Starting on the following day, the last day
If I can be of further assistance, please contact i	me.
<u>-</u>	Signature
-	EEO Counselor Printed Name

## REVIEW, HEARING, APPEAL, AND CIVIL ACTION RIGHTS

- 1. Review. The acceptance or dismissal of your complaint will be automatically reviewed by the National Guard Bureau. If the decision to accept or dismiss all or part of your complaint is reversed by the National Guard Bureau you and the state National Guard will be notified. However, if you plan to appeal the dismissal of your complaint, you must do so within 30 calendar days after the receipt of the dismissal.
- 2. Hearing. If within 180 calendar days after the filing of a formal complaint and any extension agreed on, but not to exceed 90 days, the national guard bureau has not issued a notice of investigation completion, you have a right to request a hearing by an Equal Employment Opportunity Commission administrative judge by writing to the EEOC district office for your area. You may obtain that address from any EEO counselor, the State Equal Employment Manager or Appendix C of Volume II of National Guard Regulation (AR) 690-600/national guard regulation (AF) 40-1614.
- 3. Appeal. If all or a part of your complaint is dismissed and you are dissatisfied with the dismissal, or if you are dissatisfied with a final decision issued by the National Guard Bureau, you may file an appeal and a statement or brief in support of the appeal with:

Director, Office of Federal Operations Equal Employment Opportunity Commission P.O. Box 19848 Washington, D.C. 20036

The appeal must be in writing and should use EEOC form 573, notice of appeal/petition, indicating what is being appealed. The appeal may be filed by mail, personal delivery or facsimile. A copy of the appeal and a copy of any statement or brief in support of the appeal must be provided to your State Equal Employment Manager and to:

Complaints Management and Support Activity National Guard Bureau NGB-EO 1411 Jefferson Davis Highway, Suite 9200 Arlington VA 22202-3231

4. Time limit for an appeal. The appeal must be filed within 30 calendar days after the receipt of the dismissal notice or final decision. If the complainant is represented by an attorney of record, then the 30 day time period will be based from the date of the receipt of the dismissal notice or final decision by the attorney. Any statement or brief in support of the appeal must be submitted within 30 calendar days of filing the appeal.

- 5. Civil Action. In accordance with 29 CFR 1614.408, a complainant is authorized to file a civil action in an appropriate U.S. District Court under Title Vii of the Civil Rights Act, the Age Discrimination in Employment Act, or the Rehabilitation Act, as follows:
- (a) Within 90 days of receipt of the final decision on an individual or class complaint if no appeal has been filed:
- (b) After 180 days from the date of filing an individual or class complaint if an appeal has not been filed and a final decision has not been issued:
- (c) Within 90 days of receipt of the commission's final decision on appeal; or after 180 days from the date of filing an appeal with the commission if there has been no Final decision by the commission"
- 6. Representation in civil action. If you do not have, or are unable to obtain the services of a lawyer, you may request the court to appoint a lawyer to represent you. In such circumstances as the court may deem just, the court may appoint a lawyer and may authorize the commencement of the action without the payment of fees, costs, or security. Any such request must be made within the time limits for filing the civil action and in such form and manner as the court may require.
- 7. Proper defendant. If you file a civil action, you must name the secretary of the army or the air force, as listed in the subject of this notice, as the defendant. Failure to name secretary of the army or the air force, as appropriate, may result in the loss of any judicial redress to which you may be entitled.
- 8. Mixed case complaints. If your complaint includes an action that is also appealable to the merit systems protection board (MSPB), you have the following rights:
- a. If your complaint is dismissed because you filed an appeal to the MSPB, you may not file an appeal with the EEOC unless you claim that the complaint or issue dismissed was not a mixed case matter. However, you will have the right to petition the EEOC to review the MSPB final decision on the discrimination issue.
- b. If you are dissatisfied with a final decision issued by the National Guard bureau, you may file an appeal with the MSPB, rather than the EEOC, and your appeal must be filed within 20 calendar days after the receipt of the final decision.
- c. If you have not received a National Guard bureau final decision within 120 days after filing your complaint, you may at any time thereafter file an appeal with the MSPB as specified 5 CFR 1201.154(a) or you may file a civil action.

KSNG-JFHQ-HRO-EO	
	Date
SUBJECT: Rights and Responsibilities for Complaints of Discrimination	l
MEMORANDUM FOR:	

- 1. If you believe that you have been discriminated against, you have certain rights and responsibilities under the National Guard Civilian Discrimination Complaint System of National Guard Regulation (AR) 690-600/ National Guard Regulation (AF) 40-1614 and title 29 Code Of Federal Regulations Part 1614. Complaints under these regulations must:
  - a. At the initial counseling session, counselors must advise individuals in writing of their Rights and Responsibilities. At a minimum those rights include the following:

Name of Person Counseled

- b. Prior to filing a formal complaint, you have the right to anonymity.
- c. You have the right to representation throughout the complaint process including the counseling stage. The EEO counselor is not an advocate for either the aggrieved person or the agency but acts strictly as a neutral in the EEO process.
- d. You have the right to choose between the agency's alternative dispute resolution (ADR) process or EEO counseling, where the agency agrees to offer ADR. The EEOC's revised regulation requires that agencies establish or make available an ADR program beginning January 1, 2000.
- e. You may be required to choose between a negotiated grievance procedure and the EEO complaint procedure. Consult your EEO counselor for clarification.
- f. You may be required to choose between the EEO complaint procedure and an appeal to the merit systems protection board (MSPB). Consult your EEO counselor for clarification.
- g. You are required to file a complaint within 15 calendar days of receipt of the counselor's notice of right to file a formal complaint in the event you wish to file a formal complaint at the conclusion of counseling or ADR.
- h. You may file a notice of intent to sue and file a lawsuit under the ADEA instead of an administrative complaint of age discrimination, when age is alleged as a basis for discrimination pursuant to 1614.201(a).
- i. You have the right to go directly to a court of competent jurisdiction on claims of sexbased wage discrimination under the equal pay act even though such claims are also cognizable under title vii.

- j. You have the right to request a hearing before an EEOC administrative judge (except in a mixed case) after completion of the investigation or after 180 calendar days from the filing of a formal complaint, whichever comes first. After November 9, 1999, your request should be made directly to the appropriate EEOC office, and
- k. You must notify the responding agency of your hearing request. Consult the EEO counselor for information on where a request for a hearing and notice to the agency should be sent.
- I. You have the right to an immediate final decision after an investigation by the agency in accordance with 1614.108(f).
- m. You have the right to go to U.S. district court 180 calendar days after filing a formal complaint if no final action has been taken on the complaint, or 180 days after filing an appeal if no decision has been issued on the appeal.
- n. You must mitigate damages, i.e., you must look for other appropriate employment and you must seek treatment for any injury you claim.
- o. You must keep the agency and EEOC informed of your current mailing address and to serve copies of hearing requests and appeal papers on the agency.
- p. Where counseling is selected, the right to receive in writing within 30 calendar days of the first counseling contact (unless the aggrieved person agrees in writing to an extension) a notice terminating counseling and informing the you of:
  - (1) The right to file a formal individual or class complaint within 15 calendar days of receipt of the notice,
  - (2) The appropriate official with whom to file a formal complaint, and
  - (3) Your duty to immediately inform the agency if you retain counsel or a representative.
- 2. Where you agree to participate in an established ADR program, the written notice terminating the counseling period will be issued upon completion of the dispute resolution process or within ninety (90) calendar days of the first contact with the EEO counselor, whichever is earlier.
- 3. Only claims raised at the counseling stage or claims that are like or related to them may be the subject of a formal complaint or an amendment a complaint after it has been filed.
- 4. Your rejection of an agency's offer of resolution made pursuant to section 1614.109(c) 29 CFR 1614, may limit the amount of attorney's fees or costs you can recover.

If you have filed two or more complaints, the agency must consolidate filed by the same complainant after appropriate notice to you. Section 1614.606. When a complaint has been consolidated with one or more earlier complaints, the agency shall complete its investigation within the earlier of 180 days after the filing of the last complaint or 360 days of the filing of the first complaint.

Signature	
<b>G</b>	
EEO Counselor Printed Name	

- 4 Enclosures
- 1. Election of Procedures
- 2. Age Discrimination
- 3. Review And Appeal Rights
- 4. Dispute Resolution Process Agreement

I have read and understand my rights and responsibilities in this notice and the enclosures and have had them explained to me by the EEO counselor or the State Equal Employment Manager.

Signature of Person Counseled
Printed Name
Date Signed

## RIGHT TO REPRESENTATION

I have been informed that I have the right to have a representative at any stage of the EEO complaint process, including the counseling stage. The representative may accompany, advise, and represent me.

I understand that the EEO counselor is not a representative, either for me or the agency.

I understand that the equal employment opportunity commission, in management directive 110, federal sector processing manual, does not permit certain EEO officials to serve as a representative.

I understand that the agency may disqualify a representative where there would be a conflict with the official or collateral duties of the representative.

I understand that employees of the agency may serve as my representative, but also that they may decline to serve for whatever reason they choose.

I WANT / DO NOT want a representative. If yes, my representative will be:

Name:	
Address:	
Phone: () _	
	Signature of Person Counseled
	Printed Name
	Date Signed

## **DESIGNATION OF ATTORNEY**

I have been informed that I have the right to have a representative at any stage of the EEO complaint process, including the counseling stage. The representative may accompany, advise, and represent me.

I understand that if I choose an attorney, service of documents and decisions on the complaint will be made to the attorney and not on myself. The time limits of the National Guard regulation (AR) 690-600/ngr (AF) 40-1614 are based on the receipt of materials or notices by myself will be computed from the time of their receipt by my attorney.

I want / do not want an attorney as my representative. If yes, my attorney will be:

Name:	
Address:	
Phone: ()	
	Signature of Person Counseled
	Printed Name
	Date Signed

## RIGHT TO ANONYMITY

I have been advised that I have the right to remain anonymou	s during the EEO counseling.
I understand that if the matter is not resolved to my satisfact discrimination, I lose the right to remain anonymous. (Please	•
I want to remain anonymous during counseling.	
I do not want to remain anonymous during counseling.	
Signature of F	Person Counseled
Printed Name	
Date Signed	

## ELECTION OF PROCEDURES FOR DISCRIMINATION COMPLAINTS

1. If you believe that you have been discriminated against because of race, color, religion, gender (to include sexual harassment), age, national origin, physical or mental handicap, or have been subjected to retaliation, restraint, interference, or coercion in connection with an equal employment opportunity matter and if the discrimination involves an employment matter subject to the control of the national guard, you may have a choice of how to file your complaint and to have it resolved.

## 2. Your options are as follows:

- A. If you are not a member of a bargaining unit covered by a union contract you must follow the EEO complaint procedures established by the Equal Employment Opportunity Commission (EEOC) as outlined in National Guard Regulation (AR) 690-600/Nationalguard Regulation (AF) 40-1614 and governed by title 29 Code of Federal Regulations Part 1614.
- b. If you are a member of a bargaining unit covered by a union contract and if the negotiated grievance procedures in that contract do not prohibit the raising of allegations of discrimination, you may:
  - (1) Follow the discrimination complaint procedures in paragraph 2a, above; or
- (2) Follow the negotiated grievance procedure in your union contract. If you elect this option, you have the right to appeal to the EEOC the final decision of the adjutant general, the arbitrator, or the federal labor relations authority.
- 3. If your complaint is a mixed case, that is, if it is the result of alleged discrimination or retaliation and involves an action, which is appealable to the merit systems protection board (MSPB) you may have a third option. (National Guard technicians have appeal rights to the MSPB in cases of: (1) failure to properly restore from military service, (2) failure to properly restore from injury, and (3) denial of within-grade increase for general schedule personnel.) You may:
  - a. Follow the discrimination complaint procedures in paragraph 2a, above; or
- b. Use the negotiated grievance procedure if you are a member of a collective bargaining unit, as outlined in paragraph2b, above; or
- c. Appeal directly to the MSPB. If you chose this route, you may ask the EEOC to review the MSPB final decision.

Initially, you may choose only one of the above options; election of one automatically eliminates the availability of the others. Your election is made simply by filing in writing a formal EEO complaint after completing counseling, filing a written grievance through a negotiated grievance procedure, or filing an appeal with the MSPB. However, if the MSPB dismisses your appeal for jurisdictional reasons, you may then file the complaint under the EEO procedures.

- 4. The EEO counselor will explain each of these options to you. You may also review chapters 3 and 10 of volume ii of National Guard regulation (AR) 690-600/national guard regulation (AF) 40-1614 that provides more details on your options. You may review that regulation by visiting your EEO counselor or State Equal Employment Manager.
- 5. If you have any other questions on this matter you may contact the State Equal Employment Manager, the labor management relations specialist in the support personnel management office, or your union representative.

## AGE DISCRIMINATION PROCEDURES FOR DISCRIMINATION COMPLAINTS

- 1. To file an age discrimination complaint you must have been at least 40 years of age when the alleged discrimination took place. If you believe that you have been discriminated against because of your age or have been subjected to reprisal, restraint, interference, or coercion in connection with an equal employment opportunity matter involving your age and if the discrimination involves a matter that is subject to the control of the national guard, you may have an additional choice of filing your complaint. You may:
- a. File a complaint as described in the "election of procedures for discrimination complaints" that was provided to you by the EEO counselor; or
- b. Elect to bypass the administrative procedure and file a civil action directly in an appropriate u.s. district court, after first filing a written notice of intent to file a civil action with the EEOC within 180 calendar days of the date of the alleged discriminatory action. Once a timely notice of intent to sue is filed with the EEOC, you must wait at least 30 calendar days before filing a civil action.
- 2. If you bypass the administrative procedure and file a civil action, your notice of intent to sue should be dated and must contain the following information:
- a. Statement of intent to file a civil action under section 15(d) of the Age Discrimination In Employment Act of 1967 (ADEA), as amended.
  - b. Your name, address, and telephone number.
  - c. Name, address, and telephone number of your representative, if any.
- d. Name and location of the federal agency or installation where the alleged discriminatory action occurred.
  - e. Statement of the nature of the alleged discriminatory action(s).
  - f. Date(s) the alleged discriminatory action occurred.
  - g. Your signature or the signature of your representative.
- 3. Notices of intent to sue under the ADEA must be submitted to:

Federal Sector Programs
Equal Employment Opportunity Commission
1801 L Street, NW
Washington, D.C. 20507

- 4. If you elect to file an administrative complaint rather than filing directly in federal court, as described above, you must exhaust your administrative remedies before filing a civil action. These remedies are exhausted--
- a. After the National Guard bureau has issued a final decision or 180 days after filing your complaint, if NGB has not issued a final decision.
- b. After the EEOC has issued a decision on an appeal or 180 days after filing your appeal if there has been no decision.
- 5. Because the courts have issued different decisions on what is the correct statute of limitations in the age discrimination in employment act as it applies to federal employees, if you elect to file a civil action instead of using the administrative process, you should file as soon as possible after the expiration of the 30 day waiting period. You may also wish to seek competent legal advice regarding the facts of your particular case and the jurisdiction involved before making your election of procedures.
- 6. If you have any other questions, contact the EEO counselor or State Equal Employment Manager.

JFHQ-HRO-EO	 Date
SUBJECT: Agreement to Extend Counseling	for 30 Days
FOR:Name of EEO Counselor	
On I brought the mate	atters giving rise to my complaint to the
attention of	
within the required 30 days from the date I fin	r inquiry into my complaint cannot be completed st brought the matters giving rise to my complain SEEM, with some additional time you may be able
an extension of the inquiry and counseling no	M agrees with this assessment and has approved to exceed 30 (thirty) calendar days, for a total of the matters giving rise to my complaint to the
not been resolved, you will hold a final interv file a formal complaint. I understand that thi	t if by the end of that extension my complaint has lew with me and will issue me a notice of right to s will take place not later than 60 calendar days g rise to my complaint to the attention of an EEO
	Signature of Complainant

JFHQ-HRO-EO	 Date
SUBJECT: Agreement to Participate In the Dispute Resolution	
FOR:Name of EEO Counselor	
On I brought the matters giving rise  Date	e to my complaint to the attention
of, an EEO counselo	or/the State Equal Employment
Manager, and had my initial counseling session on	You have advised
me that the National Guard has a dispute resolution process a	and I understand that:

- A. The purpose of the dispute resolution process is to help resolve discrimination complaints before they filed as formal complaints. You have reviewed with me appendix b of volume ii of National Guard regulation (AR) 690-600/national guard regulation which explains how the dispute resolution process works.
- B. In participating in the dispute resolution process I do not forfeit my right to file a formal complaint if my complaint is not resolved.
- C. If my complaint is resolved, any settlement agreement that is reached will be reduced to writing and I will be provided a copy of the agreement.
- d. By participating in the dispute resolution process I agree to extend the pre-complaint process not to exceed 60 (sixty) calendar days, for a total of 90 (ninety) days from the date I first brought the matters giving rise to my complaint to the attention of an EEO counselor or the SEEM.
- E. If by the end of that extension my complaint has not been resolved, a final interview will be held with me and I will receive a notice of right to file a formal complaint. I understand that this will take place not later than 90 calendar days from the date I first brought the matters giving rise to my complaint to the attention of an EEO counselor or the SEEM.

JFHQ-HRO-EO

SUBJECT: Agreement to Participate In the Dispute Resolution Process

2. I agree to participate in the dispute resolution process established in appendix b of volume ii of National Guard regulation (AR) 690-600/national guard regulation (AF) 40-1614. In addition to the dispute resolution panel procedure with a review by the adjutant general, I DO / DO NOT (Delete one option and initial) want to participate in the confidential mediation Process.

Signature of Complainant	

		Date
SUBJECT: Notice	of Final Interview and Right to F	ile a Complaint
FOR	, Kansas	S
Full nar	me of complainant	Air / Army National Guard

- 1. This is notice that on the above date the final counseling interview was held in connection with the matter you presented to me as an EEO counselor. You initially brought this matter to the attention of an EEO counselor or the SEEM on \_\_\_\_\_\_ and had an initial counseling session on \_\_\_\_\_\_. You stated that you believed that you were discriminated on the basis of \_\_\_\_\_\_.
- 2. If you believe you have been discriminated against on the basis of race, color, religion, gender (to include sexual harassment), national origin, age, physical or mental handicap, retaliation for participating in an EEO activity, or for opposing laws, you have the right to file a complaint of discrimination within 15 calendar days after receipt of this notice.
- 3. The complaint must be in writing on NGB form 713-5, which is enclosed with this notice. It must be filed in person, by facsimile, or by mail with the State Equal Employment Manager (SEEM) or the adjutant general at the following address:

JFHQ, Kansas National Guard State Equal Employment Manager 2800 SW Topeka Blvd Topeka, KS 66611-1287 FAX: 785.274.1558

JFHQ, Kansas National Guard Adjutant General 2800 SW Topeka Blvd Topeka, KS 66611-1287 FAX: 785.274.1682

JFHQ-HRO-FO

JFHQ-HRO-EO SUBJECT: Notice of Final Interview and Right to File a Complaint		
For, Kans	sas Air / Army National Guard	
4. Your formal complaint must state clear and specific issues, which form the basis of your complaint. If you include issues on which you have not been counseled, such issues may be dismissed. Each issue must contain the specific act or personnel action that you believe was discriminatory, the date of the occurrence, and the basis of the discrimination. If your issues are not clear or specific or do not contain this information, you will have to clarify your issues before the complaint can be processed. To avoid any delay, your EEO counselor or the SEEM are ready to assist you in completing NGB form 713-5 and in preparing your formal complaint, should you choose to proceed.		
5. The complaint must also state whether you have filed a grievance under a negotiated grievance procedure or an appeal to the merit system protection board on the same subject matter and if so, the date it was filed.		
6. If you retain a representative you must provide his or her name, address, and telephone number to the SEEM at the above address. If your representative is an attorney, you must so indicate. You and your representative will receive a written notice of receipt of your discrimination complaint.		
Enclosure NGB Form 713-5-R	Signature	

Printed Name EEO Counselor

Attachment 11.1

JFHQ-HRO-EO	Date
SUBJECT: Notice of Final Interview and Rig	ght to File a Complaint
FOR:Full Name of Complainant	, KansasAir / Army National Guard
Street or P.O. Box	
City, State Zip	
1. A final counseling interview was not held	in person because of the following
Reason(s).	
I have, therefore, summarized the items I vand enclosed this summary to this notice.	would have discussed with you in the final interview
	attention of an EEO counselor or the SEEM on counseling session on You iminated on the basis of
	nated against on the basis of race, color, religion, lational origin, age, physical or mental handicap, y, or for opposing

## JFHQ-HRO-EO

SUBJECT:	Γ: Notice of Final Interview and Right to File a Complaint		
For		, Kansas	
	Full Name of Complainant		Air / Army National Guard

An unlawful employment practice under the anti-discrimination laws, you have the right to file a complaint of discrimination within 15 calendar days after receipt of this office.

4. The complaint must be in writing on NGB form 713-5, which is enclosed with this notice. It must be filed in person, by facsimile, or by mail with the State Equal Employment Manager (SEEM) or the adjutant general at the following address:

JFHQ, Kansas National Guard State Equal Employment Manager 2800 SW Topeka Blvd Topeka, KS 66611-1287 FAX: 785.274.1558

JFHQ, Kansas National Guard Adjutant General 2800 SW Topeka Blvd Topeka, KS 66611-1287

FAX: 785.274.1682

- 5. Your formal complaint must state clear and specific issues, which form the basis of your complaint. If you include issues on which you have not been counseled, such issues may be dismissed.
- 6. Each issue must contain the specific act or personnel action that you believe was discriminatory, the date of the occurrence, and the basis of the discrimination. If your issues are not clear or specific or do not contain this information, you will have to clarify your issues before the complaint can be processed. To avoid any delay, your EEO counselor or the SEEM are ready to assist you in completing NGB form 713-5 and in preparing your formal complaint, should you choose to proceed.
- 7. The complaint must also state whether you have filed a grievance under a negotiated grievance procedure or an appeal to the merit system protection board on the same subject matter and if so, the date it was filed.
- 8. If you retain a representative you must provide his or her name, address, and telephone number to the SEEM at the above

Attachment 12.1

JFHQ-HRO-EO				
SUBJECT: Notice of Final Interview and Right to File a Complaint				
FOR:	, Kansas			
Full Name of Con	plainant Air / Army National Guard			
Address				
•	torney, you must so indicate. You and your representative wipt of your discrimination complaint.			
Enclosure 1. NGB Form 713-5-R 2. Summary of Final Interview	Signature			
	Printed Name EEO Counselor			

Attachment 12.2

JFHQ-HRO-EO	Data
	Date
SUBJECT: Voluntary Withdrawal of Equal En	mployment Opportunity Complaint
TO: SEEM/EEO Counselor Name	
1. On I brought the m	atters giving rise to my complaint the attention
	EEO counselor/the State Equal Employmen on
2. I understand: (please initial)	
a. I may withdraw a complaint at ar	ny time.
b. Such withdrawal must be comple	etely voluntary.
c. I may not be coerced into any wi	thdrawal action.
3. I withdraw my complaint effective immedia	ately.
	Signature of Person Counseled
	Printed Name